

LArGe: active background suppression using argon scintillation for the GERDA $0\nu\beta\beta$ -experiment

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Abstract LArGe is a GERDA low-background test facility to study novel background suppression methods in a low-background environment, for future application in the GERDA experiment. Similar to GERDA, LArGe operates bare germanium detectors submersed into liquid argon (1 m³, 1.4 tons), which in addition is instrumented with photomultipliers to detect argon scintillation light. The scintillation signals are used in anti-coincidence with the germanium detectors to effectively suppress background events that deposit energy in the liquid argon. The background suppression efficiency was studied in combination with a pulse shape discrimination (PSD) technique using a BEGe detector for various sources, which represent characteristic backgrounds to GERDA. Suppression factors of a few times 10³ have been achieved. First background data of LArGe with a coaxial HPGe detector (without PSD) yield a background index of $(0.12-4.6) \times 10^{-2}$ cts/(keV kg year) (90 % C.L.), which is at the level of GERDA Phase I. Furthermore, for the first time we monitor the natural ⁴²Ar abundance (parallel to GERDA), and have indication for the $2\nu\beta\beta$ -decay in natural germanium. These results show the effectivity of an active liquid argon veto in an ultra-low background environment. As a consequence, the implementation of a liquid argon veto in GERDA Phase II is pursued.

1 Introduction

GERDA is an experiment to search for the neutrinoless double beta ($0\nu\beta\beta$) decay of ⁷⁶Ge. Bare high-purity germanium (HPGe) detectors enriched in ⁷⁶Ge, which serve both as source and detector for the $0\nu\beta\beta$ -decays, are submersed in liquid argon (LAr). The LAr serves as a high purity shield against external radiation and as a coolant for the HPGe detectors. The $0\nu\beta\beta$ -signal is a sharp peak in the energy spectrum at $Q_{\beta\beta} = 2039$ keV from the sum energy of the two beta particles in a single HPGe detector. Details of the experimental setup and performance are summarized in [1]. The GERDA experiment follows a staged approach: Phase I has been recently completed after acquiring an exposure of 21.6 kg year and a background count rate at $Q_{\beta\beta}$ of 1×10^{-2} cts/(keV kg year) after pulse shape analysis [2, 3]. No signal was observed and a limit for the half-life of $T_{1/2}^{0\nu} > 2.1 \times 10^{25}$ year (90 % C.L.) was achieved [4]. Phase II is currently under preparation: the goal is to explore half-live values in the range of 10²⁶ year by further reducing the background by one order of magnitude to $\leq 10^{-3}$ cts/(keV kg year), and by collecting an exposure of up to 100 kg year quasi background free.

1.1 Background suppression in GERDA Phase II

To reach this demanding background count rate, several experimental modifications with respect to Phase I are being implemented: the most important are (1) the additional deployment of approximately 20 kg novel thick-window Broad-Energy Germanium (BEGe) detectors with highly

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efficient pulse shape discrimination (PSD) performance [5,6], and (2) the implementation of a sensor system to detect the liquid argon scintillation light in anti-coincidence with the germanium detectors for background suppression, first demonstrated in references [7,8].

LArGe, the Liquid Argon Germanium test facility of GERDA, was constructed to study these novel active background suppression methods in a low-background environment [9,10]. Similar to GERDA, bare Ge-detectors are operated in LArGe in 1 m^3 (1.4 tons) of liquid argon, which in addition is instrumented with photomultiplier tubes (PMT). The setup is located underground at 3800 m w.e. at the Laboratori Nazionali del Gran Sasso (LNGS), and has been taking data since May 2010. The data presented in this paper demonstrates that the argon scintillation veto technique works very efficiently both alone and in combination with PSD applied to BEGe detector signals.

1.2 Concept of liquid argon scintillation veto

It is well known that liquid argon emits scintillation light in response to ionizing radiation. Up to approximately 40,000 photons, peaked at a wavelength of 128 nm (XUV photons), are emitted per 1 MeV electron/gamma energy deposition [11]. Background events often have energy deposition outside the Ge-detector in the surrounding medium, in our case the scintillating LAr. Conversely, $0\nu\beta\beta$ -events are confined to the Ge-detector, so that no scintillation light is triggered. An observation of the light is therefore a good indicator for a background event, and can be used to veto the coincident Ge-signal. For that purpose, the LAr must be instrumented to detect the light. In case of LArGe the light has to be shifted in its wavelength to match the sensitive range of the PMTs and is guided to the PMTs with mirror foil on the inner cryostat wall.

2 Experimental

2.1 Detector design

An illustration of the LArGe setup is shown in Fig. 1. A vacuum insulated copper cryostat at the center can hold 1000 l (1.4 t) of LAr. Nine 8" ETL 9357 photomultiplier tubes are immersed into the LAr from the top. The inner cryostat walls are lined with VM2000 radiant mirror foil.¹ PMTs and mirror foil are coated with TPB/polysterene wavelength shifter. The cryostat is surrounded by a passive shield against external background. A double chamber lock system on the top serves as an access port for the deployment of Ge-detectors

¹ Product of the company 3M.

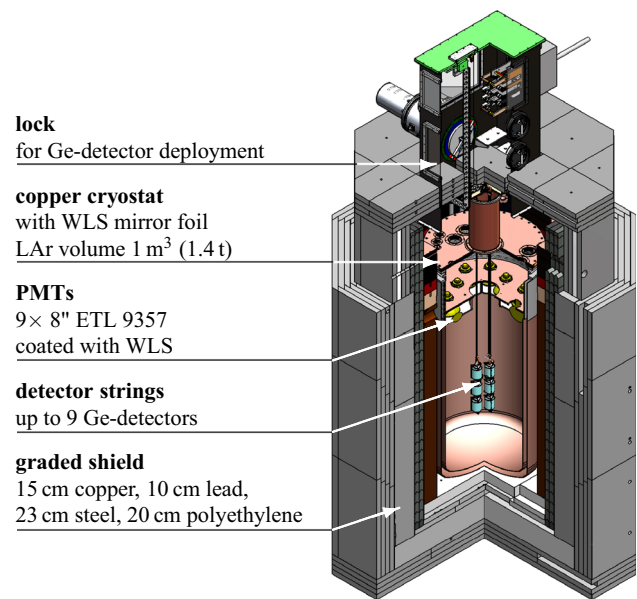


Fig. 1 Cutaway view of the LArGe setup

and internal radioactive sources for calibration. The cryogenic infrastructure, a slow control system, and the DAQ are located adjacent to this setup.

2.1.1 Shielding

The graded shield of increasing radiopurity is designed to have the gamma background dominated by the innermost layer of 15 cm electrolytic copper. This is followed by 10 cm of low-activity lead and 23 cm of steel. The outermost layer consists of 20 cm polyethylene to attenuate neutrons. Together, all three layers attenuate an external gamma-ray from the 2615 keV line of ^{208}Tl to 5×10^{-8} of the initial flux. The purest shielding is provided by >40 cm LAr inside the cryostat. At the inside of the cryostat, the PMT glass and the VM2000 mirror foil yield the highest radioimpurities. To maintain sufficient shielding, the distance of the PMTs to the Ge-detectors is chosen as large as 90 cm. For the mirror foil, the low area density leads to a surface activity below that of the copper. The radiopurity screening results of these LArGe components are given in Table 1. Throughout the measurements presented here, a part of the steel and polyethylene shield was not completed yet. Only a small impact on the background run is expected from this.

2.1.2 Cryostat and cryogenics

The main body of the double-wall cryostat is made from electrolytic copper. It has an inner diameter of 90 cm and a height of 210 cm. Heat loss is primarily prevented by the insulation vacuum of 10^{-5} – 10^{-6} mbar. The uppermost 40 cm

Table 1 Gamma-spectrometry measurements of radioimpurities in LArGe materials. Given is the specific activity in units (mBq/kg). The origin of the materials is; Copper LENS [12]; NOSV copper from NAA Hamburg. Lead LENS (shield): ‘Doe Run’-quality from JL Goslar. Steel

(shield): carbon steel from Dillinger Hütte GTS. PE (shield): polyethylene from Simona AG. VM2000: radiant mirror foil from 3M (measured with glue, mounted with glue removed). PMT glass (bulb): ultra-low background glass from ETL (now ET Enterprise Ltd.)

Material	Specific activity					
	²²⁶ Ra	²²⁸ Ra	²²⁸ Th	⁴⁰ K	⁶⁰ Co	Others
Copper LENS ^{a,1}	<0.016		<0.019	<0.088	<0.01	
Lead LENS ^{a,1}	<0.029		<0.022	0.44 (14)	0.18 (2)	27 (4) × 10 ³ ²¹⁰ Pb
Steel (shield) ^{b,2}	2.04 (33)	1.63 (41)	5.34 (69)	<4.2	<0.3	
PE (shield) ^{b,2}	<2.5	11.2 (32)	<3.4	10.8 (67)		
VM2000 ^{a,2}	<1.6	<2.2	<1.2	140 (10)	<0.44	<0.45 ¹³⁷ Cs
PMT glass (bulb) ^{b,2}	2010 (190)	2010 (480)	210 (60)	1750 (430)		<370 ¹³⁷ Cs

^a Measured with the GEMPI spectrometer at LNGS [13]

^b Measured in the Low-Level Lab at MPIK Heidelberg [14]

¹ Upper limits given for $k = 2$, 97.7 % C.L.

² Upper limits given for $k = 1.645$, 95 % C.L.

collar and bellow are made from stainless steel to minimize the thermal conductivity to the top. The cryostat is closed by a 38 mm thick flange from electrolytic copper. Infrared shields from thin copper foil are mounted both inside the insulation vacuum, and below the top flange. A PMT support structure of copper and PTFE rests on a rim at the copper-steel transition. The filling level of the LAr is adjusted slightly above the PMTs’ equator, leaving the upper part of the cryostat in the gas phase of argon. A strong temperature gradient builds up from the copper at LAr temperature, across the stainless steel to the top flange, which itself stays above the freezing point. The total heat load is ~90 W.

An active cooling system cools the cryostat by evaporating liquid nitrogen (LN₂) in an integrated cooling spiral in the steel collar. The innermost infrared shield (3 mm thick) is thermally coupled to this heat exchanger to prevent heat lost through radiation. All relevant cryogenic parameters are compiled in a slow control system, which regulates the cooling power via the flow of LN₂. During normal operation a LN₂ flow of 2.5 kg/h is sufficient to reduce the LAr loss to zero, which allows a continuous operation of LArGe. The working pressure of the cryostat is kept at 30–70 mbar overpressure, to prevent gaseous impurities from the outside to enter the LAr.

The filling procedure for the cryostat requires special precaution to prevent contamination of the LAr. The measurements presented in this article were performed in LAr 5.5 (purity 99.9995 %). Against traces of humidity several pumping-flushing cycles were performed with gaseous argon, while the cryostat was heated to >40 °C. To prevent radioactive background from radon, the argon was filled through an active-charcoal trap (602 g of CarboAct), followed by a PTFE particle filter.

2.1.3 Lock and source insertion system

The lock on top of the LArGe setup serves for the deployment of Ge-detector strings and internal radioactive wire sources into the cryostat. So far, only one detector has been inserted at a time. The Ge-detectors are mounted to low-mass copper holders in a separate clean bench. They are transferred to the main lock using a transportation container, keeping them in gaseous nitrogen atmosphere at all times. The main lock and the open volume between cryostat and shield are permanently flushed with gaseous argon at slight overpressure. The pressure gradient from the cryostat, via lock and shield, to the outside acts as a safeguard against air-trace contamination of the LAr and avoids background from airborne ²²²Rn.

The Ge-detectors are deployed into the cryostat approximately equally spaced between the bottom and side walls (distance $d \approx 45$ cm), leaving 90 cm of LAr on top towards the PMTs. Internal ‘close-by’ wire sources are inserted directly adjacent to the Ge-diodes in the argon ($d \approx 7$ cm). External sources are deployed through access ports in the shield directly adjacent to the outer wall of the cryostat ($d \approx 50$ cm). The vertical position of all sources matches the center of the Ge-detector.

2.2 Light instrumentation

The light read-out is done using nine ETL² 9357 photomultiplier tubes. The 8'' (200 mm) diameter end window with a low resistance bialkali photocathode is sensitive to wavelengths from 275–630 nm.³ The peak quantum efficiency of

² Now: ET Enterprise Ltd.

³ Wavelength range over which quantum efficiency exceeds 1%, according to the data sheet.



Fig. 2 *Left* cryostat being lined with VM2000 mirror foil. *Right* bottom view of the PMTs inside the cryostat

the PMTs is 18 % at 370 nm. Since the glass of the end window is not transparent for the 128 nm scintillation light, it must be covered with wavelength shifter (WLS). A picture of the PMTs and mirror foil in the setup is shown in Fig. 2.

The PMTs are equipped with a custom made voltage divider with a wide linear dynamic range from 2 mV to 4 V. Clean pulse shapes are obtained by operating with negative HV on the photocathode. The voltage divider is based on a 0.5 mm thin CuFlon[®] printed circuit board with components selected for low mass and radiopurity.

The wavelength shifter used to coat Mirror foil and PMTs consists of 10 % fluorescent dye (tetraphenyl butadiene) embedded into a polymer matrix of purified polystyrene. Both substances are dissolved in toluene and dehumidified using gaseous nitrogen. A homogeneous coating is achieved by pulling the Mirror foil through a bath in 45° angle. In case of PMTs, the photocathodes are simply brushed with the solution in several thin layers. The coating thickness is estimated from the consumption of the solution. A thickness of 1–4 μm is chosen as a compromise between shifting efficiency and mechanical stability in cryogenic liquid. The coated foil has a specular reflectivity of ~95 % at the peak fluorescence wavelength around 420 nm.

A characteristic quantity of the setup is the photoelectron (pe) yield Y . For the measurements discussed here we had $Y \approx 0.05$ pe/keV, which corresponds to an energy threshold of $1/Y \approx 20$ keV for a single photoelectron. Two reasons for this low Y are: (1) only 5 out of 9 PMTs were operational at low temperature,⁴ (2) trace contaminations of the argon can strongly quench the scintillation and significantly shorten the attenuation length of the 128 nm photons. An indicator for the light quenching is the lifetime τ of the triplet states of the argon excimers, which can be measured from recorded scintillation waveforms. During data taking τ was monitored in the range between 450–550 ns, compared to $\tau = 1590$ ns [15] in clean argon.

⁴ Some PMTs exhibit light production due to discharges within the glass body at cryogenic temperatures and were either operated at reduced gain or not at all. These findings led to an R&D program to solve this issue for next generation PMTs being implemented in GERDA Phase II.

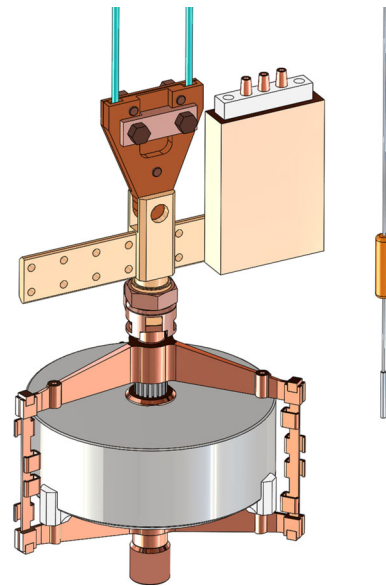


Fig. 3 Schematic view of the BEGe detector (*grey colour*) in a low-mass copper-holder. The CC2 preamplifier is placed in a grounded copper box mounted above the detector (*top right*). No electronic cabling is shown. A close-by wire source with copper weight is hanging on the *right side*

2.3 Germanium detectors

A modified thick-window broad-energy germanium (BEGe) detector has been used to carry out the suppression-efficiency measurements described in Sect. 3. It is a p-type diode of 878 g by Canberra Semiconductors, N.V. Olen/Belgium. The depletion voltage is +4 kV [16]. A small $p+$ contact leads to a strong weighting field close to the read-out electrode, which allows good pulse shape discrimination. A prototype of the GERDA Phase I multi-channel charge-sensitive preamplifier (CC2) with integrated FET and RC feedback component [17] is used and mounted close-by the detector – see Fig. 3. A low-mass copper-holder is used to support the diode and submerge it ‘naked’ into LAr. Signal and HV are connected via pressure contacts to the detector surface. A detector resolution of 1.99 keV FWHM at 1332 keV is achieved in this setup, compared to 1.63 keV in a vacuum cryostat with the same detector [5].

For the background measurements described in Sect. 4 the BEGe detector is replaced by the coaxial p-type HPGe-diode GTF44 [18]. In contrast to BEGe it has a low intrinsic background (⁶⁰Co, ⁶⁸Ge) and a high mass (2465 g), whereas pulse shape discrimination is inferior and not applied here. The detector has been modified by the manufacturer Canberra for the bare operation in LAr. It is equipped with a low-background version of the CC2 charge sensitive preamplifier. Within an investigation program of ⁴²Ar background the diode has been encapsulated in a grounded Faraday cage made from thin layers of PTFE and copper.

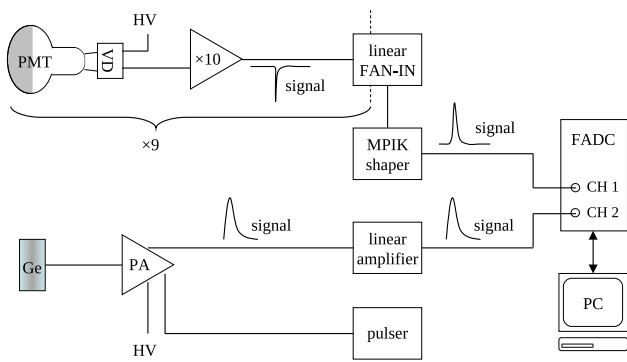


Fig. 4 Block diagram of the DAQ with Ge-detector and PMT readout. VD voltage divider, PA preamplifier

2.4 Data acquisition and analysis methods

2.4.1 Combined Ge-detector and PMT readout and waveform processing

A block diagram of DAQ and front-end electronics is shown in Fig. 4. The Ge-detector is supplied with bias HV (Iseg NHQ 225M NIM). A pulser signal (Ortec Mod. 448 NIM) can be fed into a test input of the preamplifier. The output signal is amplified without shaping by a custom-made linear amplifier, and fed into a FADC (Struck SIS3301 VME; 8 channels, 14-bit, 105 MS/s). The PMT HV is supplied by Iseg NHQ 204M/225M. The signals are amplified by a factor ten (Phillips Scientific Mod. 776 NIM) and merged in a linear fan-in (LeCroy Mod. 428F NIM). The resulting analog-sum is amplified in another custom-made analog shaper (NIM) with a shaping constant of a few 10 ns, to match the dynamic range and sampling rate of the subsequent FADC. The FADC is internally triggered on the Ge-signal, and simultaneously records Ge- and PMT waveforms of 40 μ s trace-length with 100 MHz sampling rate. The FADC acquisition is controlled by a custom-made software by MIZZI Computer Software GmbH [19].

The offline analysis of the digitized Ge-waveforms is performed with the software framework GELATIO [20] and following the procedure described in reference [21]. The energy deposited in the Ge-detectors is reconstructed by applying an

approximated Gaussian digital filter. Non-physical signals due to instabilities of the read-out electronics or electromagnetic pick-up noise are rejected during the data processing by applying a sequence of quality cuts; including the flatness and noise-level of the baseline, the position and rise time of the leading edge, and the fall time of the exponential decay tail of the charge signal. The PMT waveforms are analysed without filtering or quality cuts. Merely the baseline and veto condition are determined.

2.4.2 LAr scintillation veto cut

The veto condition is fulfilled when one or more photoelectrons are detected in a 5 μ s window around the Ge-trigger. Threshold and window size are optimized to maximize the product of suppression factor SF at $Q_{\beta\beta}$ and the veto acceptance ϵ_{acc} . The threshold is slightly above noise at 5σ of the baseline spread, corresponding to $\sim 20\%$ of the average single photoelectron amplitude.

The veto acceptance is the complementary probability for an event being vetoed by random coincidences (p_{rc}), $\epsilon_{acc} = 1 - p_{rc}$. It is measured by applying the veto cut on pulser signals or single full energy peaks. The random coincidence probability can also be estimated via $p_{rc} \approx v_{trig}^{PMT} \cdot \Delta t$, using the PMT trigger rate and the veto window size. It turns out that all methods yield consistent results. Veto acceptance values in measurements with different sources are listed in Table 2.

The suppression factor is the ratio of events in the unsuppressed (N_0) versus the suppressed (N_S) spectrum. To make SF independent of the source strength in the measurement it is weighted by ϵ_{acc} , hence $SF = \epsilon_{acc} \cdot N_0/N_S$. Suppression factors for the ROI are determined in a 70 keV window around $Q_{\beta\beta}$. Uncertainties are calculated according to Poisson counting statistics.

2.4.3 Pulse shape discrimination

The objective of PSD is to distinguish the *single site events* (SSE) of the $\beta\beta$ -decay from *multi site events* (MSE) of common gamma-background with multiple interaction vertices

Table 2 Summary table of source activities and measurement time with corresponding signal rates of Ge-detector, PMTs, and the pulser acceptance

Source	Position	Activity (kBq)	Meas. time (d)	v_{trig}^{BEGe} (Hz)	v_{trig}^{PMT} (kHz)	ϵ_{acc} (%)
^{60}Co	Int	0.314	13.06	26.9	5.8	96.6
^{226}Ra	Ext	94.9	2.49	6.9	39.2	78.7
	Int	0.934	1.54	65.4	8.1	94.2
^{228}Th	Ext	38.9	8.30	4.5	43.3	78.3
	Int	0.63	1.96	47.5	8.6	95.7

within the Ge-diode. SSE and MSE can be distinguished by the maximum amplitude (A) of their current pulse, normalized by the energy (E). The so-called cut parameter 'A/E' has been established for this purpose [5]. The PSD cut is calibrated to 90 % acceptance on the double escape peak (DEP) of the 2615 keV ^{208}Tl line, which by its nature is dominantly of SSE character. As discussed in [2], in a BEGe detector the DEP is a good proxy for $0\nu\beta\beta$ and their acceptances agree within about 1 %. Uncertainties of PSD suppression factors include both statistical and systematic uncertainties.

In addition, the combined suppression of LAr veto and PSD is determined from the spectra. Due to the strong suppression of close-by ^{60}Co and ^{228}Th the analysis window for these sources is extended from 70 width to 200 keV, excluding the single escape peak of ^{208}Tl at 2104 keV.

3 Measurement of suppression factors

Energy spectra of various sources in *close-by* and *external* position (see Sect. 2.1.3) were recorded with the BEGe detector. The sources represent characteristic background contributions in GERDA. While from external sources only gammas can enter the cryostat, close-by sources are encapsulated in ceramics ($D = 1$ mm) and thin steel ($R = 0.25\text{--}0.5$ mm), thus allow some high-energy beta particles to enter the LAr as well. The source activities are chosen to balance high signal rates in the Ge-detector with random coincidences (see Sect. 2.4.2) – see Table 2. Without a source the pulser acceptance is 97.3 %, and the PMT trigger rate (~ 5 kHz) is dominated by dark noise and the decay of ^{39}Ar (1.4 kBq).

The LAr veto and PSD cuts are applied to each measurement in the whole energy range. While the achieved suppression factor in the ROI is the ultimately relevant number, other energy regions of distinct gamma lines illustrate the different and complementary suppression mechanism underlying PSD and LAr veto.

3.1 Th-228 suppression

Even after careful material screening and selection, ^{228}Th and its progenies from the natural decay chain are present at trace levels in the construction materials of GERDA. Background from sources close-by the germanium originates from detector holders, cables and front-end electronics [3]. These components are immersed in the liquid argon and are referred to as 'close-by sources'. Conversely, 'external sources' are located in the cryostat, its neck and the photomultipliers of the LAr instrumentation itself. The corresponding energy spectra of a close-by and external ^{228}Th source are shown in Fig. 5a. A zoom into the ROI is shown in Fig. 6a.

Both spectra are dominated by the 2615 keV gamma line of ^{208}Tl and its single- and double escape peak (SEP at

2104 keV, DEP at 1593 keV). Since the double escape peak is dominantly of SSE nature, and as such used to calibrate the PSD acceptance, it remains practically unsuppressed by PSD (Fig. 7). On the other hand, the two 511 keV annihilation gammas trigger the LAr veto reliably such that the peak vanishes. In contrast to the DEP, the neighbouring 1621 keV full energy peak from the ^{212}Bi decay is affected nearly opposite by both cuts: all the energy of this line is deposited in the germanium detector, leaving none for the surrounding LAr to create scintillation. Moreover, the transition is not part of a gamma cascade, thus no additional energy deposition in the LAr is occurring. Hence, the LAr veto does not come in. The suppression factor of PSD is about ten for both source positions. The situation is the same for PSD on the 2615 keV gamma line. However, the LAr veto can also suppress this peak, because the gamma is emitted in a cascade preceded by other gammas (*coincident gammas*), which can themselves trigger the LAr veto. The suppression is much stronger for the close-by source ($SF = 47$) than for the external ($SF = 1.3$), because in the former case coincident gammas have little chance to escape from the active LAr volume. This instance can be exploited to identify the location of a ^{228}Th background source via the LAr suppression factors.

The ROI of the $0\nu\beta\beta$ -decay is dominated by a flat Compton region of ^{208}Tl before and after the cuts in both spectra (Fig. 6a). The suppression factors of the LAr veto clearly differ for the close-by (1180 ± 250) and external (25 ± 1) sources, while being quasi-independent of the source location for PSD (2.4 ± 0.1 and 2.8 ± 0.1 respectively – see Table 3). The LAr suppression is strongly enhanced in the Compton region of the 2615 keV line, as compared to the full energy peak itself: since a fraction of about 2 MeV is deposited in the Ge-detectors, an excess energy of ~ 600 keV is deposited in the liquid argon in its vicinity, providing an additional handle for the LAr veto to act upon. The suppression factors of the combined LAr veto and PSD cuts are 5200 ± 1300 (close-by) and 129 ± 15 (external), thus providing a strong suppression on all ^{228}Th background sources. Since only 5 counts survive the combined suppression of the close-by source, the analysis window was extended from 70 to 200 keV width around $Q_{\beta\beta}$. Still, the number of counts after the cut (=15) dominate the uncertainty of the suppression factor.

3.2 Ra-226 suppression

^{226}Ra is a long lived progeny of ^{238}U in the natural decay chain. Similar to ^{228}Th , it is present at trace levels in all construction materials. ^{226}Ra decays to ^{222}Rn , which is a radioactive noble gas that diffuses into the LAr of the GERDA cryostat and is a potential source of background. Energy spectra were recorded with LArGe for a close-by and external ^{226}Ra source, both of which are shown in Fig. 5b.

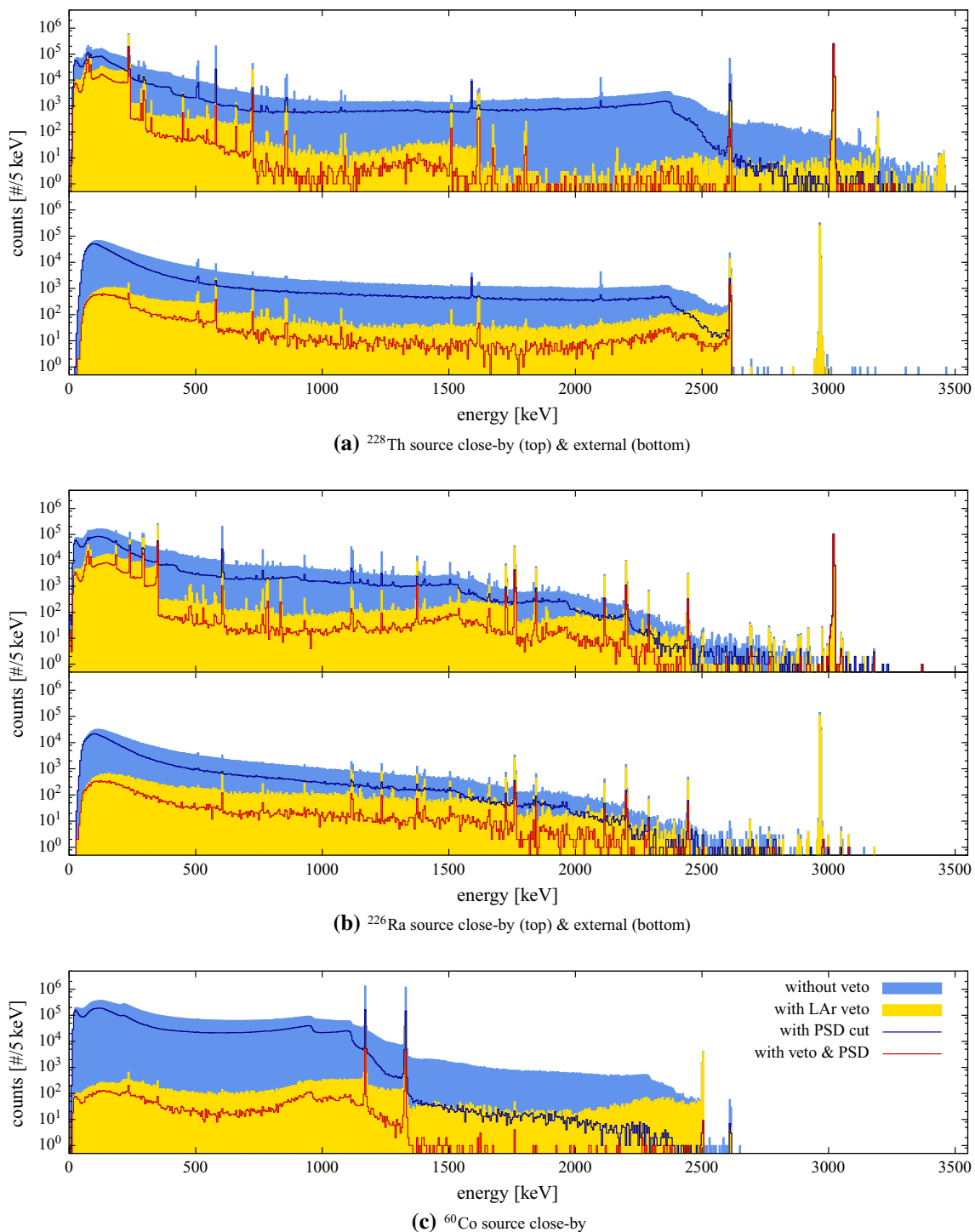
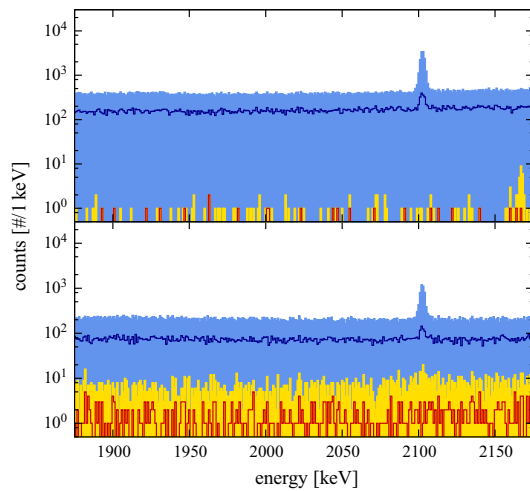


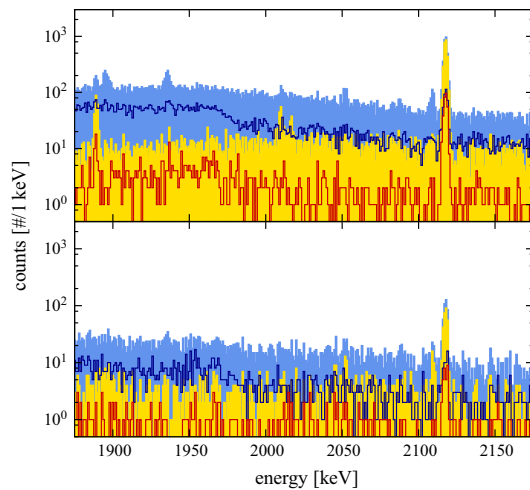
Fig. 5 Full energy spectra of the source measurements in 5 keV binning. The ^{228}Th and ^{226}Ra spectra feature a pulser signal at 3 MeV. In case of ^{60}Co , a small background peak of ^{208}Tl is visible at 2615 keV. The colour code is shown in canvas (c)

The predominant isotope in this chain that contributes to the region at $Q_{\beta\beta}$ is ^{214}Bi , with several gamma lines up to 3184 keV. The suppression of gamma peaks follows the same logic as showcased for ^{228}Th : *single* lines not being affected by the LAr veto (e.g. 1764, 2204, 2448 keV), as opposed to

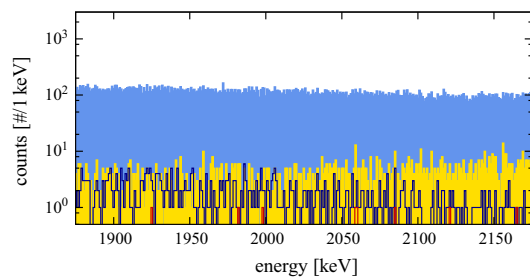
lines emitted as part of a gamma cascade and therefore in *coincidence* (e.g. 609, 1120 keV). The LAr suppression factors in the ROI are 4.6 ± 0.2 (close-by) and 3.2 ± 0.2 (external), and about four for PSD (see Table 3; Fig. 6b). The LAr suppression is much inferior compared to ^{228}Th for mainly two



(a) ^{228}Th source close-by (top) & external (bottom)



(b) ^{226}Ra source close-by (top) & external (bottom)



(c) ^{60}Co source close-by

Fig. 6 Close-up view of the ROI at $Q_{\beta\beta}$ (2039 keV) of the source spectra in 1 keV binning. For colour code see Fig. 5

reasons: (1) all gamma lines with sufficient energy to create Compton events at $Q_{\beta\beta}$ are *single*, hence depriving the LAr veto of a possibility to veto on a *coincident* gamma. The lack of coincident gammas also makes the veto less dependent on the source position. And (2) the gammas have only little energy exceeding $Q_{\beta\beta}$, thus only little light is created to trig-

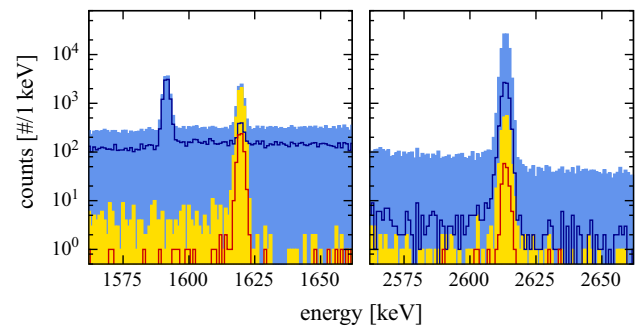


Fig. 7 Left the double escape peak of ^{208}Tl at 1593 keV and the 1621 keV *single* gamma line of ^{212}Bi . Right the 2615 keV *coincident* gamma line of ^{208}Tl . Both examples from the close-by source. Same colour code as in Fig. 5

ger the veto. Despite the individual suppression of LAr veto and PSD being moderate, their combination again provides a suppression well beyond one order of magnitude.

3.3 Co-60 suppression

Cosmogenic ^{60}Co is formed in Ge-detectors and their copper holders during production above ground. While ^{60}Co in the detectors can create background directly via their beta decay, ^{60}Co background from the holders relies on gammas: only two gamma lines with significant branching ratio are emitted after the decay of ^{60}Co . Since their energies of 1173 and 1332 keV are below $Q_{\beta\beta}$, they can create background events only via summation. As summation strongly depends on the solid angle and the angular correlation of the gammas, only ^{60}Co sources close-by the Ge-detectors are of concern for the GERDA background. The energy spectrum of close-by ^{60}Co as measured in LArGe is shown in Fig. 5c.

The energy region above the two gamma lines is dominated by the summation spectrum, which expands up to the summation peak at 2505 keV.⁵ Similar to ^{214}Bi , LAr suppression in the ROI (Fig. 6c) happens mainly via the gamma energy exceeding $Q_{\beta\beta}$, which in case of ^{60}Co is almost 500 keV. This higher energy and multiplicity reduces the chance for it being deposited in dead volume rather than active argon, hence leading to a superior suppression factor of 27 ± 2 compared to ^{226}Ra (Table 3). The PSD cut works very efficiently with a suppression of 76 ± 9 , as by construction summation events are MSE. Again, the combined cut can reject background by three orders of magnitude.

⁵ The peak above 2505 keV is the 2615 keV line of ^{208}Tl background from the front-end electronics used with the BEGe detector.

Table 3 Summary table of suppression factors for different sources in the ROI around $Q_{\beta\beta}$ at 2039 keV. The combined suppression ('total') ranges from a few 10^1 to several 10^3 and gives the order of magnitude by which background sources can be suppressed

Source	Position	Suppression factor		
		LAr veto	PSD	Total
^{60}Co	Int	27 ± 2	76 ± 9	3900 ± 1300
^{226}Ra	Ext	3.2 ± 0.2	4.4 ± 0.4	18 ± 3
	Int	4.6 ± 0.2	4.1 ± 0.2	45 ± 5
^{228}Th	Ext	25 ± 1	2.8 ± 0.1	129 ± 15
	Int	1180 ± 250	2.4 ± 0.1	5200 ± 1300

3.4 Conclusion on suppression factors

The suppression factors of the different sources in the ROI of the $0\nu\beta\beta$ -decay are summarized in Table 3. The large variation of the suppression factors is consistent with our understanding of the underlying physics, as described in the previous sections.

The combination of LAr veto and PSD proves to be more powerful than would be expected from independent cuts: the mean average of the combined suppression in the ROI is enhanced by a factor 1.84 ± 0.17 , compared to the product of the individual suppression factors of PSD and LAr veto. This means that event classes leading to rejection by one or the other cut are anti-correlated. For example, a Compton event at $Q_{\beta\beta}$ from close-by ^{208}Tl leaves the 2.6 MeV gamma to deposit ~ 600 keV outside the Ge-detector, alongside its 583 keV coincident partner. However, if the event at $Q_{\beta\beta}$ results from the summation of the two gammas (a multisite event likely to be rejected by PSD), a single gamma of higher energy ~ 1.2 MeV can leave the detector, and is more likely to escape the active LAr volume than two energetically lower gammas. Hence, this event class is anti-correlated in LAr veto and PSD. An analogue analysis of full energy peaks of the investigated sources returns an average 'enhancement' of 1.007 ± 0.015 . This is consistent, since no (anti-)correlated event topologies are expected here.

The suppression factors measured here sketch the order of magnitude by which active background rejection in GERDA may be achievable, indicating that the goal for Phase II, namely to reduce the background by one order of magnitude, is in reach. Due to a higher argon purity we anticipate to achieve a better photoelectron yield in GERDA, supporting the suppression of ^{226}Ra and other background sources that create little light per event at $Q_{\beta\beta}$. Other determining factors for photoelectron yield are the geometry of the active LAr volume, the Ge-detector strings within, and the efficiency of light detectors and wavelength shifters. A full MC campaign using photon tracking has been carried out to study and optimize a veto design for GERDA Phase II. These simulations are in reasonable agreement with the experimental data of LArGe and will

be reported in a publication dedicated to the LAr veto in GERDA.

4 Background measurements in natural germanium

LArGe has been designed to demonstrate the applicability of the LAr veto in an ultra low-background environment. For that purpose a measurement with a semi-coaxial detector with natural isotopic composition (GTF44, see Sect. 2.3) and improved radiopure front-end electronics was conducted. The LAr veto was operated under the same conditions as previously described, except for an exchange of the LAr by high purity argon 6.0. The veto acceptance of 91 % is determined by the pulser. The detector resolution is 3.5 keV at 1332 keV, no PSD was applied.

4.1 Background components

The full energy spectrum with an exposure of 116 kg day (life time 47.05 days) is shown in Fig. 8. The dominant background source above 1.5 MeV is the 2615 keV line of ^{208}Tl and its Compton continuum. The suppression factor of the full energy peak is 1.52 ± 0.62 , which is in agreement with the corresponding value 1.28 ± 0.01 obtained in the external source measurement. Hence, the suppression factor points towards a distant origin of this background, presumably the PMTs. Other prominent background sources are ^{40}K (1461 keV) and ^{214}Bi (1764 and 2204 keV). Their lines appear only in the vetoed spectrum: while the continuous Compton background is vetoed effectively, single full energy peaks are rejected only by random coincidences. Cosmogenic ^{58}Co is found at 811 keV, likely sitting in the activated copper of the detector encapsulation. At low energies the spectrum is dominated by the ^{39}Ar beta spectrum (Q_{β} -value 565 keV) and accompanying Bremsstrahlung photons.

4.1.1 $2\nu\beta\beta$ contribution

The strong suppression in the vetoed background spectrum makes it possible to observe the $2\nu\beta\beta$ spectrum even though the detector is made from non-enriched natural germanium.

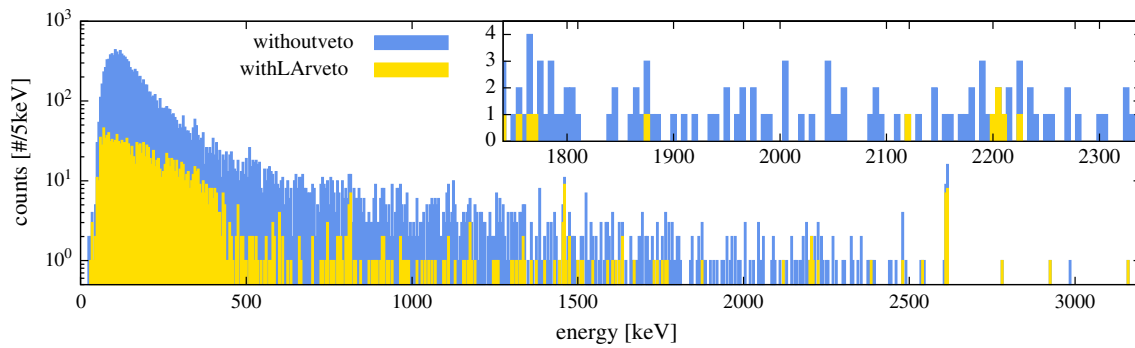


Fig. 8 Background spectrum of GTF44 with an exposure of 116 kg day (life time 47.05 days). The inlay shows a close-up view of the region of interest around $Q_{\beta\beta}$ at 2039 keV

Table 4 Summary table of the background index for different choices of the ROI. All ROI are centered around $Q_{\beta\beta}$ and exclude the 2204 keV line of ^{214}Bi . Confidence intervals are given with 90 %

Region of interest (keV)	Counts (#)		BI [cts/(keV kg year)]	
	No veto	Veto	No veto	Veto
100	14	0	0.44(12)	$<7.2 \times 10^{-2}$
200	30	1	0.47(9)	$0.17\text{--}6.8 \times 10^{-2}$
300	40	1	0.43(7)	$0.12\text{--}4.6 \times 10^{-2}$

The prediction of the $2\nu\beta\beta$ spectrum based on [22, 23] for this detector infers that 69 out of 135 observed counts in the continuum from 500 keV (above dominant bremsstrahlung from ^{39}Ar) to 2100 keV (above the $2\nu\beta\beta$ endpoint) are expected to stem from $2\nu\beta\beta$ decays.

4.1.2 ^{42}K abundance

A unique background to GERDA and LArGe is that of ^{42}K , identified through its gamma line at 1525 keV. ^{42}K ($Q_{\beta} = 3525$ keV, $T_{1/2} = 12.6$ h) is a β -emitting progeny of ^{42}Ar ($Q_{\beta} = 599$ keV, $T_{1/2} = 32.9$ year) with traces expected in natural argon. In LArGe we observe 7 counts in the interval (1523–1527) keV around the peak, out of which (1.35 ± 0.27) counts are expected from Compton background. The probability⁶ to observe ≥ 7 events from this background is 0.08 %. Neglecting possible inhomogeneities of the ^{42}K spatial distribution, the number of counts observed in the 1525 keV peak corresponds to an abundance $^{42}\text{Ar}/^{nat}\text{Ar}$ of about 2×10^{-21} g/g [10]. Along with GERDA [3], the data presented here is the first positive detection of natural ^{42}Ar .

4.2 Background Index

The inlay of Fig. 8 shows the ROI of the $0\nu\beta\beta$ -decay. In a large energy window of 300 keV centered around $Q_{\beta\beta}$ only

one event survives the LAr veto cut. Depending on the chosen width for that region, the achieved background index after veto is about 10^{-2} cts/(keV kg year) – see Table 4. The lower limits cover a background index of 10^{-2} cts/(keV kg year), which is the design goal of LArGe and GERDA Phase I. The 90 % confidence intervals are determined for ‘the mean of a Poisson variable in the absence of background’ using frequentists statistics according to [24]. The ratio of counts before and after the LAr veto yield a background suppression by one order of magnitude or more.

5 Conclusion

The LArGe test facility has demonstrated the great potential of an active liquid argon veto for the suppression of residual background signals which deposit part of their energy in LAr. It is the first time bare Ge-detectors are operated in a low-background environment with 1 m³ of instrumented LAr. The background suppression efficiency has been studied in combination with pulse shape discrimination (PSD) of a BEGe detector. Suppression factors have been measured for several sources (^{60}Co , ^{226}Ra , ^{228}Th) representing characteristic background sources to GERDA in different locations (close-by and external). The strongest suppression factors were obtained for combined LAr veto and PSD for close-by ^{228}Th ($SF \approx 5200$) and ^{60}Co ($SF \approx 3900$). The combined suppression of LAr veto and PSD is mutually enhanced. The particular response of the different suppression methods is a useful tool to understand the location of different backgrounds

⁶ The probability is calculated from a gaussian distributed background $g(\lambda|\mu, \sigma)$ and poisson distributed counts $p(n|\lambda)$, using $prob(n \geq 7) = \sum_{n=7}^{\infty} \int_0^{\infty} g(\lambda|\mu, \sigma) p(n|\lambda) d\lambda$.

even in the case of low counting statistics. In a low background measurement without PSD, the LAr veto helped to achieve an excellent background index of $(0.12\text{--}4.6)\times 10^{-2}$ cts/(keV kg year) (90 % C.L.). The confidence interval coincides with the background level of GERDA Phase I $< 10^{-2}$ cts/(keV kg year), despite LArGe being a much more compact setup. LArGe has the sensitivity to measure the natural abundance of ^{42}Ar and the $2\nu\beta\beta$ -decay in non-enriched germanium. As a consequence of these results, an active liquid argon veto has been developed for GERDA and will be used in Phase II of the experiment.

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